

# **MECHANICAL AIDS REGULATIONS**

(Approved by Council on 10 March 2022 and effective from 25 March 2022)

## **General Information**

In the case of general queries regarding these Regulations, please contact:

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## **Specific Definitions**

The words and phrases used in these Regulations that are defined terms (denoted by initial capital letters) will have the meanings specified in the Constitution and the Generally Applicable Definitions, or (in respect of the following words and phrases) the following meanings:

- "Applicable Competition" means a competition that holds a permit issued by either World Athletics, an Area Association or a National Federation where all relevant World Athletics' Rules and Regulations are complied with and consequently at which World Ranking Points (see: <a href="www.worldathletics.org/world-rankings/introduction">www.worldathletics.org/world-rankings/introduction</a> and <a href="www.worldathletics.org/world-rankings/introduction">www.worldathletics.org/world-ranking-rules/basics</a>) are obtained. For competitions holding a permit issued by their National Federation, in addition the National Federation must endorse the competition as being valid for World Athletics' statistical and results purposes.
- "Applicable Person" means the persons and entities described in Rule 1 of the Integrity Code of Conduct.
- "Application Form" means the application form to be submitted to World Athletics in accordance with Regulation 4.1 (available on the World Athletics website and available on request from the Review Officer).
- "Chief Executive Officer (or their nominee)" means the chief executive officer of World Athletics or their Staff nominee.
- "Independent Expert" means a biomechanics or other suitably qualified expert appointed by the Chief Executive Officer (or their nominee) from time to time to conduct testing and/or consider matters arising under these Regulations.
- "Jumping Events" means High Jump, Long Jump, Pole Vault and Triple Jump as defined in the Technical Rules.
- "MASH" means 'Maximum Allowable Standing Height', the formula used by World Para Athletics to determine the maximum permitted standing height in competition of an athlete who is eligible for Para athletics on the basis of a bilateral lower limb deficiency and requires the use of two leg prostheses in order to compete (which formula is based on measurements of that athlete's other body segments) and competes in sport class T/F61 or T/F62 in Para athletics.
- "Mechanical Aid" means (as applicable) (1) one or more passive prosthetic devices (including a running specific prosthetic) that is used by an athlete with a physical impairment to enable them to compete in athletic events, or (2) any other aid or device from time to time designated as a Mechanical Aid by World Athletics.
- "Mechanical Aids Review Panel" means the panel of persons appointed by the Council to hear and determine applications arising under these Regulations (or fulfil such other roles and responsibilities as may be delegated by Council from time to time) in accordance with its Terms of Reference.
- "Race Walking Event" has the same meaning as defined in the Technical Rules.
- "Regulations" means these Mechanical Aids Regulations as amended from time to time.
- "Review Officer" means the member of Staff (or their nominee) designated by the Chief Executive Officer (or their nominee) to act on World Athletics' behalf in matters arising under these Regulations.

- "Running Events" means Road Races, Track Events and Cross-Country, Mountain & Trail Races as defined in the Technical Rules.
- "Staff" means any person employed or engaged by World Athletics to undertake work for it, or on its behalf (including those employed or engaged to work with the Integrity Unit, unless specified otherwise).
- "**Technical Rules**" means the rules set out at Book C C2.1 of the Book of Rules available at: https://www.worldathletics.org/about-iaaf/documents/book-of-rules
- "Throwing Events" means Discus Throw, Hammer Throw, Javelin Throw and Shot Put as defined in the Technical Rules.
- "World Athletics Series Event" means the World Athletics Championships, the World Indoor Championships, the World Athletics Relays, the World Athletics U20 Championships, the World Athletics Road Running Championships, the World Athletics Race Walking Team Championships and the World Athletics Cross Country Championships.
- "World Para Athletics" means the international federation for para athletics, as recognised by the International Paralympic Committee.

#### 1. Overview

- 1.1 World Athletics, as the international federation responsible for the global governance and regulation of the sport of Athletics, has adopted these Regulations further to Articles 4.1 (a), (c), (d), (e) and (j) of the Constitution and Technical Rule 6.3.4 in order to facilitate the participation in Applicable Competitions of athletes that use a Mechanical Aid as a consequence of a physical impairment, in accordance with the following imperatives:
  - 1.1.1 World Athletics needs to establish competition and technical rules for participation in the sport of Athletics that (a) guarantee fair and meaningful competition that displays and rewards the fundamental values and meaning of the sport, (b) define and preserve the nature of the sport, in particular that performances (including records) in athletics are achieved through the primacy of human endeavour over technology, and (c) protect the health and safety of participants.
  - 1.1.2 World Athletics wishes to be as inclusive as possible and recognises that such competition and technical rules may in some cases necessarily also operate as eligibility conditions for certain athletes.
  - 1.1.3 World Athletics wishes to encourage and facilitate the participation in Applicable Competitions of athletes who use a Mechanical Aid as a consequence of a physical impairment, on conditions that go only so far as is necessary to protect the fundamental nature, values and meaning of the sport, to deliver on the promise of fair and meaningful competition offered by the Competition Rules and the Technical Rules (in particular the prohibition of assistance to athletes), and to protect the health and safety of athletes.
  - 1.1.4 The requirements, processes, and conditions for authorisation and permission of the use of a Mechanical Aid that are established in these Regulations are driven solely by the desire to guarantee fairness within the sport. They are in no way intended as any kind of assault on the dignity of any athlete who uses a Mechanical Aid, and the need to respect and preserve the dignity and privacy of such athletes, and to avoid improper discrimination and stigmatisation on grounds of disability, is paramount. All cases arising under these Regulations must be handled and resolved in a fair, consistent, and confidential manner, recognising the sensitive nature of such matters.
- 1.2 These Regulations will come into effect on 25 March 2022 and will apply both to cases arising prior to that date and to cases arising after that date. They are binding on and must be complied with by athletes, Member Federations, Area Associations, Athlete Representatives, Member Federation Officials, and all other Applicable Persons. These Regulations will be subject to periodic review to take account of any relevant scientific, medical or other developments and may be amended from time to time by World Athletics, with such amendments to take effect from the date specified by World Athletics when it issues the amendments.
- 1.3 Since the Regulations are intended to operate globally, regulating the conditions for participation in international-level events, they are to be interpreted and applied not by reference to national or local laws, but rather as an independent and autonomous text, and in a manner that protects and advances the imperatives identified above.

1.4 In the event an issue arises that is not foreseen in these Regulations, it will be addressed by World Athletics in a manner that protects and promotes the imperatives identified above.

# 2. Application

- 2.1 These Regulations establish the process by which athletes with a physical impairment may be authorised to use a Mechanical Aid in Applicable Competitions.
- 2.2 An athlete who wishes to participate in an Applicable Competition using a Mechanical Aid agrees as a condition to such participation:
  - 2.2.1 to comply in full with these Regulations;
  - 2.2.2 to cooperate promptly and in good faith with the Review Officer and the Mechanical Aids Review Panel in the discharge of their respective responsibilities under these Regulations, including (a) providing them with all of the information and evidence they request to assess their compliance and/or monitor their continuing compliance with the eligibility conditions referred to in these Regulations, and (b) on request by World Athletics, participating fully and in good faith in physical and performance testing, MASH measurement collection, and other investigations pursuant to Regulation 4.2.2 and/or 5.2;
  - 2.2.3 (to the fullest extent permitted and required under applicable data protection and other laws of the Principality of Monaco) to consent to the collection, processing, disclosure and use of information (including their sensitive personal information) as required to implement and apply these Regulations effectively and efficiently; and
  - 2.2.4 to follow exclusively the procedures set out in Regulation 7 to challenge these Regulations and/or to appeal decisions made under these Regulations, and not to bring any proceedings in any court or other forum that are inconsistent with that Regulation.
- 2.3 An athlete may revoke at any time, with or without giving reasons, the consent that they have granted in accordance with Regulation 2.2. In that event, the athlete will be deemed to have withdrawn any claim to satisfy the authorisation conditions for use of a Mechanical Aid at Regulation 3 (other than Regulation 3.2.2) and, if that athlete has received authorisation to use a Mechanical Aid in Applicable Competitions in accordance with Regulation 4, that authorisation will be automatically withdrawn.
- 2.4 Every Applicable Person and person and/or entity who brings him/herself/themselves within the jurisdiction of World Athletics by providing information to World Athletics pursuant to Regulation 5.8:
  - 2.4.1 is bound by and must comply in full with these Regulations, including in particular only providing accurate and complete information, and not providing any information in bad faith or for any improper purpose; and
  - 2.4.2 must cooperate promptly and in good faith with the Review Officer and the Mechanical Aids Review Panel in the discharge of their respective responsibilities under these Regulations.

- 2.5 Each Member Federation must cooperate with and support World Athletics in the application and enforcement of these Regulations, and to observe strictly the confidentiality obligations set out at Regulation 8.
- 2.6 Each Member Federation is entitled to adopt its own regulations to determine the eligibility of athletes that use a Mechanical Aid to compete in events (except for Applicable Competitions) taking place under its own jurisdiction. For the avoidance of doubt, anything that a Member Federation does, or does not do, at national level will not affect the eligibility of athletes that use a Mechanical Aid to compete in Applicable Competitions. That will instead be determined exclusively by reference to these Regulations.

## 3. General prohibition, and requirements for authorisation

- 3.1 Use by an athlete of a Mechanical Aid in Applicable Competitions is prohibited unless (1) authorised in advance by World Athletics in accordance with the process at Regulation 4, or (2) permitted in accordance with Regulation 3.2.2.
- 3.2 Effect of authorisation or lack of authorisation:
  - 3.2.1 If an athlete is authorised by World Athletics to use a Mechanical Aid:
    - a. (subject always to Regulation 5.2, any conditions to the authorisation made pursuant to Regulation 4.3.2, and Regulation 3.5) the athlete will be eligible to compete using the Mechanical Aid in Applicable Competitions; and
    - b. the competition organiser will list the athlete's results in the same category to those of the other competitors (and, where applicable, note the athlete's 'sport class' pursuant to World Para Athletics' classification rules) and the results will be valid for World Athletics' purposes.
  - 3.2.2 If an athlete is not authorised by World Athletics to use a Mechanical Aid (whether because the athlete has failed to comply with the application process, revoked their consent pursuant to Regulation 2.3 or because the athlete's application has not been authorised):
    - a. (subject always to Regulation 3.5) the athlete will be eligible to compete using the Mechanical Aid in Applicable Competitions other than World Athletics Series Events and the athletics programme at the Olympic Games; and
    - b. the competition organiser will list the athlete's results in a separate category to those of the other competitors (and, where applicable, note the athlete's 'sport class' pursuant to World Para Athletics' classification rules) and the results will not be valid for World Athletics purposes.
- 3.3 Subject to Regulation 3.4, in determining whether to authorise the use of a Mechanical Aid by an athlete in Applicable Competitions, World Athletics will consider the following:
  - 3.3.1 Whether use of the Mechanical Aid will, on the balance of probabilities, provide the athlete with an overall competitive advantage when comparing (a) the performance that the athlete who uses a Mechanical Aid is capable

of achieving while competing with their impairment and their Mechanical Aid; and (b) the performance that that same athlete would hypothetically have been capable of achieving in the same event if they were competing without their impairment and without that Mechanical Aid. If the Mechanical Aid will provide the athlete with an overall competitive advantage, its use will not be authorised.

- 3.3.2 The phrase 'overall competitive advantage' requires a weighing of the advantages and disadvantages of those athletic performances. If the athlete who uses a Mechanical Aid has an overall competitive advantage then they possess an advantage that an athlete who does not require use of a Mechanical Aid does not (cannot) possess.
- 3.3.3 In any case where World Athletics does not authorise use by an athlete of a Mechanical Aid, it is World Athletics' burden to prove that use of that Mechanical Aid will provide that athlete with an overall competitive advantage. The applicable standard of proof is the balance of probabilities.
- 3.4 When World Athletics considers applications for authorisation made pursuant to Regulation 3.1 and 4, the following athletes will benefit from a rebuttable presumption that use of a Mechanical Aid will not provide them with an overall competitive advantage:
  - 3.4.1 An athlete who does not have legs or part of their legs and applies for authorisation to use Mechanical Aids so the athlete competes at or below their MASH (as assessed and decided by the appropriate World Para Athletics panel) in Running Events or a Race Walking Event or Throwing Events.
  - 3.4.2 An athlete who does not have one leg or part of one of their legs and applies for authorisation to use a Mechanical Aid in Running Events or a Race Walking Event or Throwing Events, or Jumping Events (excluding the Triple Jump) provided the athlete takes off from their biological leg.
  - 3.4.3 An athlete who does not have one or both arms or part of both arms who applies for authorisation to use a Mechanical Aid in Running Events or a Race Walking Event or Jumping Events.
  - 3.4.4 An athlete who does not have one arm or part of one of their arms who applies for authorisation to use a Mechanical Aid in Running Events or a Race Walking Event, Jumping Events or in Throwing Events provided the athlete throws using their biological arm.

These rebuttable presumptions operate without prejudice to the Review Officer's discretion at Regulation 4.2.2 or the Review Officer's power to investigate further at any time at Regulation 5.2 and failure at any time by World Athletics to rebut (or attempt to rebut) any rebuttable presumption will not mean World Athletics has waived any rights in any relevant case.

#### 3.5 For the avoidance of doubt:

3.5.1 The requirements for authorisation for an athlete to use a Mechanical Aid set out in these Regulations operate without prejudice to (a) the other eligibility requirements that are applicable to all athletes (whether such athletes use Mechanical Aids or not) under the rules of World Athletics, and (b) any qualification or entry standards or other requirements

applicable to any particular athletics competition, all of which must also be satisfied (as applicable) at all relevant times.

3.5.2 Subject to compliance with all other Rules and Regulations, any athlete with an impairment who does not require use of a Mechanical Aid to compete (for example, a deaf athlete or a partially sighted athlete) need not make any application under these Regulations prior to competing in Applicable Competitions. If any athlete with an impairment competes in an Applicable Competition, the Referee may consider whether to exercise the discretion allocated to them in Competition Rule 18.

#### 4. Application process for authorisation

## 4.1 Application:

- An athlete who wishes to obtain authorisation to use a Mechanical Aid in Applicable Competitions must complete and submit to the Review Officer an Application Form and all relevant supporting information. An athlete, who has a bilateral lower limb deficiency and requires the use of two leg prostheses in order to compete, wishing to apply for authorisation to use Mechanical Aids must have their MASH assessed and determined by the appropriate World Para Athletics panel before submitting an Application Form to World Athletics. For the avoidance of doubt, such athletes must submit with their Application Form evidence of the outcome of their World Para Athletics MASH assessment applicable for the current World Para Athletics season (at the time the application is made). An extract from the World Para Athletics Classification Master List will be an acceptable form of evidence.
- 4.1.2 The Application Form must be submitted accompanied by the documents requested on it, including: (a) evidence demonstrating the athlete's impairment; (b) information (including make and model and any relevant settings and bespoke components) regarding the specific Mechanical Aid for which authorisation is sought; (c) information regarding the specific athletic event(s) for which authorisation is sought; (d) (if applicable) the athlete's MASH and constituent body segment measurements (as measured and calculated by a World Para Athletics classification panel); (e) (if applicable) the data from any physiological performance and/or biomechanical testing conducted on the athlete using their Mechanical Aid (and any analysis and/or expert opinion based on that data) that might be relevant to the Mechanical Aids Review Panel's consideration of the matter; and (f) any other evidence that the athlete considers relevant to the Mechanical Aids Review Panel's consideration of the matter.
- 4.1.3 The athlete is responsible for ensuring that the information provided is accurate and complete, and that nothing relevant to the Mechanical Aids Review Panel's assessment of the case is withheld.
- 4.1.4 If applicable, the athlete must also provide the appropriate consents and waivers (in a form satisfactory to the Review Officer) to enable their physician(s) to disclose to the Review Officer and the Mechanical Aids Review Panel any information that the Mechanical Aids Review Panel deems necessary to its assessment.

- 4.1.5 Subject always to Regulation 4.3.4, to ensure that authorisation is received in good time, the athlete must submit the Application Form to the Review Officer at least twenty-four (24) weeks in advance of the first Applicable Competition in which they wish to participate (although, in practice, in some cases the authorisation might be straightforward and take a much shorter time to determine and if so the Review Officer will waive strict application/consequences of this deadline). If the athlete wishes to compete in any particular competition, they should familiarise themselves with any relevant qualification rules for that competition to ensure that authorisation is received in good time to obtain qualifying performances in accordance with those rules (and there is enough time for an appeal in accordance with Regulation 7 if authorisation is refused and if the athlete is so advised).
- 4.2 Review of the application and investigation by World Athletics:
  - 4.2.1 On receipt of the Application Form, the Review Officer will review the Application Form and supporting documents, (if necessary) communicate with the athlete and/or the athlete's representative to remedy any obvious deficiencies.
  - 4.2.2 In response to any application, and in their sole discretion, the Review Officer may conduct further investigations and enquiries, and gather additional evidence, including (without limitation):
    - a. liaising, co-ordinating with and obtaining advice from other World Athletics Commissions, Working Groups and departments, or other relevant persons; and/or
    - b. obtaining opinion from Independent Experts on specific issues;
    - c. commissioning performance testing and analysis from Independent Experts; and/or
    - d. obtaining MASH measurements from the athlete (measured and calculated by a World Para Athletics classification panel).
  - 4.2.3 If the Review Officer exercises their discretion pursuant to Regulation 4.2.2 to conduct further investigations and enquiries and gather additional evidence, the Review Officer will submit to the Mechanical Aids Review Panel (with a copy to the athlete):
    - a. World Athletics' recommendation regarding the application for authorisation:
    - any proposed conditions to the authorisation (for example, for use only in certain events, or for use only of a specified brand and/or model and/or specific Mechanical Aid);
    - c. any evidence relied on, such as evidence from any Independent Expert;
    - d. any other supporting documents; and

e. the Application Form and all documents filed in support of the application,

as soon as reasonably practicable following receipt of the application. World Athletics recognises the need for applications to be dealt with as expeditiously as reasonably practicable, but the integrity and effectiveness of the investigations regarding what is a complex issue must not be compromised.

- 4.2.4 If World Athletics' recommendation to the Mechanical Aids Review Panel is anything other than to authorise the use of the Mechanical Aid as requested in the application, the athlete may, ordinarily within two weeks of receipt of World Athletics' recommendation, submit to the Review Officer (who will forward to the Mechanical Aids Review Panel) any submissions regarding World Athletics' recommendation (along with any supporting evidence that would not have been available prior to the date of the application).
- 4.2.5 If the applicant athlete submits a substantive response in accordance with Regulation 4.2.4, World Athletics may, ordinarily within two weeks of receipt of the applicant athlete's submission, submit to the Mechanical Aids Review Panel (with a copy to the athlete) any submissions regarding World Athletics' recommendation (along with any supporting evidence that would not have been available prior to the date of the application).
- 4.3 Determination of the application by the Mechanical Aids Review Panel:
  - 4.3.1 Ordinarily within two weeks of receipt of the final recommendation or submission anticipated by Regulation 4.2, the Mechanical Aids Review Panel will request from the parties any further information it may require (in such form as the Mechanical Aids Review Panel may require it) and determine the application on the papers and issue to World Athletics and the athlete a reasoned decision.
  - 4.3.2 If the Mechanical Aids Review Panel authorises the athlete's use of a Mechanical Aid, it may place conditions on the authorisation (for example, that the athlete may use a specific Mechanical Aid only and/or use that Mechanical Aid in certain athletics events/disciplines only). The Mechanical Aids Review Panel may issue an official document (such as a certificate) confirming its authorisation which may include any conditions, including specifications (dimensions, brand etc.) of the Mechanical Aid authorised to be used by the athlete or, if applicable, the athlete's MASH, which the athlete must bring with them to any Applicable Competition they compete at.
  - 4.3.3 The Mechanical Aids Review Panel's decision will be final and binding on all parties. It may only be challenged by way of appeal in accordance with Regulation 7.
  - 4.3.4 The Mechanical Aids Review Panel will complete its assessment as soon as is reasonably practicable in all of the circumstances of the case and, ideally, within the deadlines set out in this Regulation 4. However, in no circumstance (in particular, irrespective of whether a consideration of the authorisation is triggered by an application by the athlete or by an investigation by World Athletics) will World Athletics or any member of the

Mechanical Aids Review Panel be liable for any detriment allegedly suffered by the athlete or anyone else as a result of the length of time taken by World Athletics or the Mechanical Aids Review Panel to complete its assessment.

4.4 An athlete or the Review Officer may request an extension to any deadline set out in this Regulation 4 to the Mechanical Aids Review Panel which, at the sole discretion of the Mechanical Aids Review Panel, shall be either granted or denied.

## 5. Monitoring/investigating compliance

- 5.1 The Review Officer may monitor an athlete's compliance with these Regulations and/or the terms of any authorisation issued at any time, with or without notice, whether by random or targeted inspection and/or assessment of the athlete's Mechanical Aid and/or (if applicable) take a measurement of the athlete's height to verify whether or not the athlete is competing at or below their MASH (and the athlete agrees to comply with all reasonable requests for this purpose) and/or by any other appropriate means.
- 5.2 Further to Regulation 5.1 the Review Officer (or their nominee) may instruct an appropriately qualified and authorised official or Referee at an Applicable Competition to inspect an athlete's Mechanical Aid and/or (if applicable) to conduct a measurement of the athlete's height to verify whether or not the athlete is competing at or below their MASH.
- 5.3 Where, pursuant to Regulation 4.3.2, an athlete has been issued with a certificate by the Mechanical Aids Review Panel they must be ready to produce on request such certificate at any time for inspection by the Review Officer or any appropriately qualified and authorised official or Referee at an Applicable Competition.
- 5.4 If an athlete fails an inspection and/or measurement pursuant to Regulation 5.2 or fails to produce a certificate pursuant to Regulation 5.3 then the athlete's use of a Mechanical Aid at that Applicable Competition will be regarded as unauthorised. The athlete may only compete at the Applicable Competition in accordance with Regulation 3.2.2, unless the Applicable Competition is either a World Athletics Series Event or the Olympic Games, in which case the athlete will be ineligible to compete.
- 5.5 In addition to the general power to monitor continuing compliance with these Regulations and/or the terms of any authorisation issued, the Review Officer may investigate, at any time:
  - 5.5.1 whether an athlete who is or appears to be using one or more Mechanical Aids in Applicable Competition is not authorised to do so;
  - 5.5.2 whether (because of a subsequent change in circumstances, subsequent learning or experience, or otherwise) it is necessary to require an athlete who has previously been authorised to use one or more Mechanical Aids in accordance with these Regulations to provide further information, undergo further performance testing and analysis by Independent Experts, and/or further determination of authorisation by the Mechanical Aids Review Panel; and/or
  - 5.5.3 any circumstances that indicate potential non-compliance with these Regulations and/or any authorisation issued;

- and in such cases the athlete in question must cooperate fully and in good faith with that investigation.
- 5.6 Where necessary to safeguard the fairness and/or integrity of competition and/or the safety of the competitors, the Review Officer (acting on behalf of World Athletics) may provisionally suspend:
  - 5.6.1 the athlete from competing in a World Athletics Series Event and/or the Olympic Games;
  - 5.6.2 the athletes' results from any relevant Applicable Competitions; and/or
  - the authorisation previously granted to the athlete pending resolution of the matter;

provided that in such cases all reasonable endeavours should be used to complete the investigation as expeditiously as reasonably practicable. Any such provisional suspension or suspension of authorisation may be challenged by way of appeal in accordance with Regulation 7.2.1.

- 5.7 Only the Review Officer may initiate an investigation under Regulation 5.2, and they should only do so in good faith and on reasonable grounds, such as (for example) information from the athlete themself, the Member Federation to which the athlete is affiliated, or an national-level competition or Applicable Competition official or referee.
- 5.8 The dignity of every individual must be respected. All forms of abuse and/or harassment are prohibited. In particular (but without limitation):
  - Any Applicable Person, person and/or entity that provides information to the Review Officer for consideration under these Regulations is under a strict obligation: (a) to ensure that the information is accurate and complete; and (b) not to provide any information in bad faith, to harass, stigmatise or otherwise injure an athlete, or for any other improper purpose, and such information will be dealt with in strict confidence in accordance with Regulation 8.
  - 5.8.2 No stigmatisation or improper discrimination on grounds of disability will be tolerated. In particular (but without limitation), persecution or campaigns against athletes simply on the basis of their appearance or their use of a Mechanical Aid are unacceptable. Any such conduct will be considered a serious breach of these Regulations.
- 5.9 If it is determined at any time that (other than in accordance with Regulation 3.2.2) an athlete has competed at an Applicable Competition while using a Mechanical Aid without authorisation or beyond the terms of the issued authorisation then (without prejudice to any other action that may be taken) the Review Officer will disqualify the individual results obtained by the athlete at that competition, with all resulting consequences, including forfeiture of any medals, ranking points, prize money, or other rewards awarded to the athlete based on those results.

#### 6. Disciplinary proceedings

6.1 Where:

- an athlete competes in an Applicable Competition using one or more Mechanical Aids without authorisation by World Athletics to do so (other than in accordance with Regulation 3.2.2);
- an athlete who has been authorised by World Athletics to compete in Applicable Competitions using a Mechanical Aid competes in an Applicable Competition using a Mechanical Aid that is beyond the terms of the authorisation issued by World Athletics (other than in accordance with Regulation 3.2.2);
- 6.1.3 an athlete who has been authorised by World Athletics to compete in Applicable Competitions using a Mechanical Aid, and who has not renounced their eligibility to so compete, fails to cooperate fully and in good faith with the efforts of the Review Officer to determine their continuing compliance with these Regulations and/or the terms of the authorisation;
- an Applicable Person or other person or entity has been complicit in a breach of or non-compliance with these Regulations by an athlete;
- 6.1.5 a person or entity breaches Regulation 5.8; and/or
- 6.1.6 there has been any other breach of or non-compliance with these Regulations;

World Athletics may refer any potential breach of these Regulations by an Applicable Person or other person or entity to the Athletics Integrity Unit. Any potential breach of these Regulations by such Applicable Person or other person or entity may amount to a breach of the Integrity Code of Conduct and may be subject to investigation and prosecution by the Athletics Integrity Unit under the Athletics Integrity Unit Reporting, Investigation and Prosecution Rules (Non-Doping) and possible proceedings under the Disciplinary Tribunal Rules.

- 6.2 In any disciplinary proceedings taken pursuant to this Regulation 6, an athlete may not challenge the validity of these Regulations or of any decision made under these Regulations. Instead such challenge may only be brought by way of challenge or appeal in accordance with Regulation 7.
- 6.3 In any disciplinary proceedings pursuant to this Regulation 6, the sanctions that may be imposed, depending on all of the circumstances of the case, will include (without limitation):
  - 6.3.1 a caution, reprimand and/or warning as to future conduct;
  - 6.3.2 the disqualification of individual results obtained by the athlete at Applicable Competitions, with all resulting consequences, including forfeiture of any medals, ranking points, prize money, or other rewards awarded to the athlete based on those results:
  - 6.3.3 a specified period of ineligibility to participate in Applicable Competitions;
  - a withdrawal or suspension for a specified period of, or amendment to, the authorisation granted to the athlete;
  - 6.3.5 a fine; and/or

6.3.6 if the breach involves more than two members of a national representative team of a Member Federation, or if there are multiple breaches involving such a team, appropriate sanctions on the team and/or the Member Federation (e.g., disqualification of team results; imposition of a period of future ineligibility to participate in Applicable Competitions; a fine).

# 7. Dispute resolution

- 7.1 The validity of these Regulations may only be challenged by way of ordinary proceedings filed before the CAS and/or as part of an appeal to the CAS made pursuant to Regulation 7.2.
- 7.2 The following decisions (and only the following decisions) made under these Regulations may be appealed to the CAS, in accordance with this Regulation 7:
  - 7.2.1 a decision by the Review Officer to suspend an athlete provisionally from competition and/or to suspend authorisation previously granted to an athlete pursuant to Regulation 5.6 may be appealed by the athlete, in which case World Athletics will be the respondent to the appeal;
  - 7.2.2 a decision by the Mechanical Aids Review Panel that the athlete may not compete in Applicable Competitions using Mechanical Aids may be appealed by the athlete, in which case World Athletics will be the respondent to the appeal; and
  - 7.2.3 a decision by the Mechanical Aids Review Panel that the athlete may compete in Applicable Competitions using Mechanical Aids may be appealed by World Athletics, in which case the athlete will be the respondent to the appeal.
- 7.3 Any such challenge or appeal will be conducted in the English language and will be governed by the Constitution, rules and regulations (in particular these Regulations), with the laws of Monaco applying subsidiarily, and in the case of any conflict between any of the above instruments and the CAS Code of Sports-Related Arbitration, the above instruments will take precedence. The CAS will hear and determine the challenge/appeal definitively in accordance with the CAS Code of Sports-Related Arbitration provided that in any appeal the appellant will have fifteen days from the filing of the Statement of Appeal to file their/its Appeal Brief, and the respondent will have thirty days from their/its receipt of the Appeal Brief to file its Answer. Pending that determination, the Regulations under challenge and/or the decision under appeal (as applicable) will remain in full force and effect unless the CAS orders otherwise.
- 7.4 The decision of the CAS will be final and binding on all parties, and no right of appeal or other challenge will lie from that decision on any ground, except as set out in Chapter 12 of the Swiss Federal Code on Private International Law.

#### 8. Confidentiality

8.1 All cases arising under these Regulations, and in particular all athlete information provided to World Athletics under these Regulations, and all results of examinations and assessments conducted under these Regulations, will be dealt with in strict confidence at all times. All medical information and data relating to an athlete will be treated as sensitive personal information and the Review Officer will ensure at all times that it is processed as such in accordance with applicable data protection and privacy laws. Such information will not be used for any purpose not contemplated in these

Regulations and will not be disclosed to any third party save (a) as is strictly necessary for the effective application and enforcement of these Regulations; or (b) as is required by law.

- 8.2 World Athletics will not comment publicly on the specific facts of a pending case (as opposed to general descriptions of the process and science involved) except in response to public comments attributed to the athlete or the athlete's representatives.
- 8.3 Each member of the Mechanical Aids Review Panel must sign an appropriate conflict of interest declaration and confidentiality undertaking in relation to their work as a member of the panel.

#### 9. Costs

- 9.1 Except for the cost of any investigations conducted and/or testing required by World Athletics and agreed reasonable travel and/or accommodation costs of the athlete attending testing with an Independent Expert required by World Athletics, the costs involved in applying under and complying with these Regulations (including the travel and/or accommodation costs of their associates or representatives associated with attending testing and analysis required by World Athletics) will be borne by the relevant athlete.
- 9.2 The standing costs of the Mechanical Aids Review Panel will be borne by World Athletics.

# 10. Limitation of liability

10.1 In no circumstances will World Athletics, any member of the Mechanical Aids Review Panel, or any of World Athletics' Staff, officers, agents, representatives, and/or other persons involved in the administration of these Regulations be liable in any way in relation to acts done or omitted to be done in good faith in connection with the administration of these Regulations.